Doc Code: Oath

PTO/SB/01 (04-09)

Document Description: Oath or declaration filed

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DESIGN			OR UTILITY OR	Attorney Docket Number	31886-705.201
				First Named Inventor	Michael Hufford et al
PATENT APPLICATION		COMPL	ETE IF KNOWN		
(37 CFR 1.63) Declaration Submitted With Initial Filing (37 CFR 1.16(f))	Application Number	09/825,533			
			Submitted After Initial	Filing Date	April 2, 2001
				Art Unit	3693
	i neig			Examiner Name	Gottschalk, Martin

I hereby declare that: (1) Each inventor's residence, mailing address, and citizenship are as stated below next to their name; and (2) I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM FOR CLINICAL TRIAL SUBJECT COMPLIANCE

 (Title of the Invention)

the application of which

is attached hereto

OF

was filed on 04/02/2001 as United States Application Number 09/825,533.

Application Number was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

Authorization To Permit Access To Application by Participating Offices

☑ If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filled access to the above-identified patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the above-identified patent application is filled to have access to the above-identified patent application.

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In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

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DECLARATION — Utility or Design Patent Application

Claim of Foreign Priority Benefits I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. Prior Foreign Application Foreign Filing Date Priority Certified Copy Attached? Number(s) Country (MM/DD/YYYY) **Not Claimed**

[Page 2 of 4]

Additional foreign application number(s) are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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DECLARATION — Utility or Design Patent Application Direct all The address correspondence to: associated with 021971 ☐ Correspondence address below Customer Number: Name Address City State Zip Country Telephone Email patentdocket@wsgr.com WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type or personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or Issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE, COMMERCE-PAT-7, System name: Patent Application Files. Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10, System name: Deposit Accounts and Electronic Funds Transfer I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Given Name (first and middle [if any]) Family Name or Surname Michael Hufford Inventor's Signature Date 16 Residence: City State Country Citizenship San Diego CA US US Mailing Address 12940 La Tortola City State Zip Country San Diego CA 92129 US Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or 02LR attached hereto

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DECLARATION

DECLARATION							Page <u>4</u> of <u>4</u>
Name of Additional Joint Inventor,	if any:		A petition has been filed for this unsigned inventor				
			1			ior this unsigned	Inventor
Given Name (first and middle (if	any)		Fan	nily Name o	r Surname	·····	
David					Pet	terson	
Inventor's Signature	Management			***************************************		Date	
Residence: City Scotts Valley	State CA	4		Country	us	Citizenship	US
Mailing Address 121 Sunset Terrace							
City Scotts Valley	State CA	4		Zip 953	02	Country US	
Name of Additional Joint Inventor,	if any:	·	☐ A petition has been filed for this unsigned inventor				
Given Name (first and middle (if	any))		Family Name or Surname				
Jean			Paty				
Inventor's Signature						Date	
Residence: City Pittsburgh	State PA	\		Country	US	Citizenship	Canada
Mailing Address 5621 Beacon Street							
City Pittsburgh	State PA			Zip 152	17	Country US	
Name of Additional Joint Inventor,	if any:		A petition has been filed for this unsigned inventor				inventor
Given Name (first and middle (if a	any))		Fan	nily Name o	r Surname		
Saul			Shiffman				
Inventor's Signature						Date	
Residence: City Pittsburgh	State	PA		Country	US	Citizenship	US
Mailing Address 5726 Woodmont Avenue							
City Pittsburgh	State	PA		Zip 15 2	217	Country U :	S

[Page 4 of 4]

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	Filing			Examiner Name	Gottschalk, Martin

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	(Title of the Invention) the application of which						
	is attached hereto						
	OR was filed on 04/02/2001 as United States Application Number 09/825,533.						
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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed		py Attached?
Manuel (a)	Country	(MM/DD/1111)	Not Claimed	Yes	No

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supplemental sheet(s) PTO/SB/02A or 02LR attached hereto

Additional inventors or a legal representative are being named on the

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ADDITIONAL INVENTOR(S)

DECLARATION	1	s	Supplemental Sheet	Page <u>4</u> of <u>4</u>			
Name of Additional Joint Inventor,	if any						
Traine of Additional South Inventor, if any.			A petition has been filed	for this unsigned inventor			
Given Name (first and middle (if	any)	F:	amily Name or Surname				
David			Pe	terson			
Inventor's Signature				Date 27 Oct 2010			
Residence: City Scotts Valley	State CA		Country US	Citizenship US			
Mailing Address 121 Sunset Terrace							
City Scotts Valley	State CA		Zip 95302	Country US			
Name of Additional Joint Inventor,	if any:		A petition has been filed for this unsigned inventor				
Given Name (first and middle (if	any))	Fa	Family Name or Surname				
Jean			ı	Paty			
Inventor's Signature				Date			
Residence: City Pittsburgh	State PA		Country US	Citizenship Canada			
Mailing Address 5621 Beacon Street							
City Pittsburgh	State PA		Zip 15217	Country US			
Name of Additional Joint Inventor,	if any:		☐ A petition has been filed for this unsigned inventor				
Given Name (first and middle (if	any))	Fa	amily Name or Surname				
Saul			Shi	iffman			
Inventor's Signature				Date			
Residence: City Pittsburgh	State	PA	Country US	Citizenship US			
Mailing Address 5726 Woodmont Avenue							
City Pittsburgh	State F	 PA	Zip 15217	Country US			

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DECLARATION — Utility or Design Patent Application

Claim of Foreign Priority Be	enetits							
I hereby claim foreign priori inventor's or plant breeder's country other than the United application for patent, invento before that of the application	rights certificated d States of Amer or's or plant bree	(s), or 365(a) of any PCT ica, listed below and have der's rights certificate(s),	international applicati also identified below,	on which design by checking the	nated at least one e box, any foreign			
Prior Foreign Application Foreign Filing Date Priority Certified Copy Attact Number(s) Country (MM/DD/YYYY) Not Claimed Yes N					opy Attached? No			
Additional foreign application	on number(s) are I	isted on a supplemental prid	ority data sheet PTO/SB	02B attached he	reto.			

DECLARATION — Utility or Design Patent Application

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Direct all		02	21971	OR 🗆	Correspondence address below			
Name				-				
Address								
City			State	Zip				
Country	Telephone			Email				
				na	tentdocket@wsgr.com			
		WADNIN	G.	pa	teritaccket@wsgr.com			
WARNING:								
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NAME OF SOLE OR FIRST INVE	NTOR:	□ Аре	tition has been filed fo	or this ur	nsigned inventor			
Given Name (first and middle [if any]) Michael			Family Name or Surname Hufford					
Inventor's Signature					Date			
Residence: City	State		Country		Citizenship			
Pittsburgh	PA		US		US			
Mailing Address		******						
11 Carmel Court								
City	State		Zip		Country			
Pittsburgh	PA		15221		US			
Additional inventors or a legal representative	ara haina namad an t	the su	Inniomental cheet(c) DTC	VSBIOSA	or 021 P attached barata			

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ADDITIONAL INVENTOR(S) Supplemental Sheet **DECLARATION**

Name of Additional Joint Inventor,		·····	A petition has been filed for this unsigned inventor				
Given Name (first and middle (if	any)	Far	mily Name or Surname				
David			Pe	terson			
Inventor's Signature	·			Date			
Residence: City Scotts Valley	State CA		Country US	Citizenship US			
Mailing Address 121 Sunset Terrace							
City Scotts Valley	State CA		Zip 95302	Country US			
Name of Additional Joint Inventor,	if any:		A petition has been filed	for this unsigned inventor			
Given Name (first and middle (if	any))	Fan	nily Name or Surname				
Jean		Paty					
Inventor's Signature				Date Oct. 26,2010			
Residence: City Pittsburgh	State PA		Country US	Citizenship Canada			
Mailing Address 5621 Beacon Street							
City Pittsburgh	State PA		Zip 15217	Country US			
Name of Additional Joint Inventor,	if any:		☐ A petition has been filed for this unsigned inventor				
Given Name (first and middle (if any))			Family Name or Surname				
Saul			Shiffman				
Inventor's Signature				Date			
Residence: City Pittsburgh	State PA	\	Country US	Citizenship US			
Mailing Address 5726 Woodmont Avenue							
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[Page 2 of 4]

Additional foreign application number(s) are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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DECLARATION — Utility or Design Patent Application Direct all The address correspondence to: associated with 021971 Customer Number: Name Address City State Zip Country Telephone Email patentdocket@wsgr.com WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type or personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. Petitioner/applicant is advised that documents which form the record of a patent application (such as the PTO/SB/01) are placed into the Privacy Act system of records DEPARTMENT OF COMMERCE, COMMERCE-PAT-7, System name: Patent Application Files. Documents not retained in an application file (such as the PTO-2038) are placed into the Privacy Act system of COMMERCE/PAT-TM-10, System name: Deposit Accounts and Electronic Funds Transfer I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Given Name (first and middle [if any]) Family Name or Surname Michael Hufford Inventor's Signature Date Residence: City State Country Citizenship San Diego CA US US Mailing Address 12940 La Tortola City State Zip Country San Diego CA 92129 US

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto

Additional inventors or a legal representative are being named on the

Date

Citizenship

Country

US

15217

Country

Zip

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DECLARATION			ADDITIONAL INVENTOR(S) Supplemental Sheet			
					Page <u>4</u> of <u>4</u>	
Name of Additional Joint Inventor, if any:			A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any)			Family Name or Surname			
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Given Name (first and middle (if any))			Family Name or Surname			
Jean			Paty			
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[Page 4 of 4]

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